

## Description

**ROTARY SEALING ASSEMBLY**Technical Field

The invention relates to sealing devices for rotating shafts where sealed fluid is employed to generate hydrostatic and hydrodynamic lift-off forces between stationary and rotating sealing elements, thereby effecting their separation and providing non-contact operation.

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Background of the Invention

A sealing assembly of a non-contact type for rotating shafts is used in high speed and high pressure applications, where contacting type seals would experience overheating problems and failures caused by generation of excessive frictional heat. Contacting seals have pressure and speed limits depending primarily on whether the sealed fluid is liquid or gas. These limits are substantially lower with gas than with liquid, because as opposed to gas, liquid lubricates the opposed, rubbing surfaces of the sealing interface and can therefore expel a considerable amount of contact heat from said interface, hence permitting higher speeds and pressures.

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Non-contact seals which are the subject to this invention, will also have speed and pressure limits. However, in the absence of contact, these limits are usually not because of frictional heat at the sealing interface, but moreover due to other factors such as material strength, viscous shear heat or permissible leakage value. The limits for non-contact seals are much higher than with contacting seals. Consequently, non-contact seals offer a preferred sealing solution for high speed, high pressure applications employed in centrifugal gas compressors, light-hydrocarbon pumps, boiler feed pumps, steam turbines and the like.

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Non-contact seals are commonly more able to operate at

5       elevated speeds and pressures regardless of whether the  
sealed fluid is a liquid, a gas or even a mixture of liquid  
and gas. Particularly, when sealed fluid change phase from  
gas to liquid and back, said seals offer an appreciable  
10       advantage. One of such non-contacting seals is of the face  
type, where one of the sealing surfaces is furnished with  
partial helical grooves as disclosed in U.S. Patent No.  
4,212,475, U.S. Patent No. 3,704,019 or U.S. Patent No.  
3,499,653. This kind of seal has been applied to several  
15       sealing situations where not only high speeds and pressures  
were concerned but also in applications in which gas, liquid,  
or gas-liquid mixtures have been handled.

      A disadvantage associated with sealing with non-contact  
seals is the effluvium which may be higher than the leakage  
expected when using a contacting seal in the same situation.

20       This disadvantage becomes even more significant when the  
sealed fluid is either in liquid state or in a state of a  
liquid-gas mixture. This issue is associated with the fact  
that for the same volume of leakage, the density of liquid is  
several times higher than that of gas. Therefore the mass of  
25       amount leaked per unit of time will be much higher when  
leaking fluid is in liquid form rather than when it is in  
gaseous form. When sealing fluids at prominent pressure and  
speeds, the task is comparatively easier, if the sealed fluid  
is already in a gaseous state. If it is not and the sealed  
30       fluid is in liquid state, then there is always an inherent  
probability of high leakage.

      From the above discussion, it could be concluded that  
vaporization at the seal faces of a contacting seal might  
offer a benefit since there would still be an abundance of  
35       liquid around the seal to entirely dissipate any frictional  
heat. However, in the prior art sealing arrangements it is  
not common to have the fluid change its phase from liquid to  
gas within the seal itself. As a matter of fact,

5 gasification or vaporization at the sealing interface is  
though to be destructive to seal faces of liquid seals and it  
is therefore perpetually suppressed by the employment of  
flushing or cooling arrangements.

One such prior patent is U.S. Patent No. 3,746,350  
10 where a vortex type axial flow pumping device is employed to  
maintain an all liquid condition at the seal to extract  
frictional heat from the seal through liquid circulation.  
This heat removal lowers the temperature at the seal which  
then depresses the vapor pressure of the sealed liquid.  
15 Therewith, vapor pressure is kept safely below the pressure  
at the seal thus preventing liquid to vaporize. The pumping  
device operates by propelling liquid in an axial direction by  
vortex-forming threads shaped on the external surface of the  
rotatable part and on the internal surface of the surrounding  
20 non-rotatable part. The binary threads have opposite hands  
pending on direction of rotation, liquid will thereupon be  
urged in one of the two axial directions. Thread profile is  
optimized to achieve maximum flow rate of the liquid with  
given speeds of rotation.

25 A further prior patent is U.S. Patent No. 4,243,230.  
Once more a pumping device is used to generate fluid  
pressure, which opposes loss of fluid from the housing during  
shaft rotation and which disengages the face seal to avoid  
loss of friction energy and to reduce wear. In this case,  
30 thread profile will not be optimized for maximum flow as in  
previously discussed patent, but instead will be optimized  
for maximum pressure differential toward the condition of  
zero or near zero flow, and this will normally result in a  
different thread profile.

35 Statement of the Invention

In accordance with the invention, a seal arrangement is  
formed via combination of a non-contact seal and an axial

5 flow pumping device. Said arrangement provides low-leakage  
performance of that of a gas seal even if sealed fluid is not  
a gas but rather a liquid or a gas-liquid mixture. This is  
accomplished by an axial flow pumping ring segment which is  
10 arranged to pump fluid away from the non-contact seal and  
back towards the source of said fluid. Thus without further  
replenishment of fluid flow through the axial flow pumping  
device will stall and a pressure drop is initiated. Subse-  
quently, when fluid is stalled cooling is curbed and  
15 temperature of the fluid will rise. Both effects pressure  
drop and temperature rise cause vaporization of the fluid  
providing a non-contact gas seal with fluid in the preferred  
gaseous form for low leakage operation.

20 The prior patents discussed above present examples  
where pumping means inboard the sealing means are either  
employed to cool and circulate fluid or to seal fluid and  
disengage a contacting seal. The invention exploits pumping  
means inboard sealing means to resolve the problem of high  
25 leakage on elevated pressure and speed seals for liquids  
where vaporization occurs within pumping means rather than  
having vaporization at the sealing faces which is oftentimes  
destructive. In that way, sealing means will encounter only  
gaseous vapor for low leakage operation.

The basic differences between this invention and the  
prior patents are:

30 As opposed to U.S. Patent No. 4,212,475, U.S.  
Patent No. 3,704,019 or U.S. Patent No. 3,499,653 the  
present invention will result in low leakage regardless  
of whether seal fluid is liquid, gas or a mixture of  
both, whereas the above prior art will result in low  
35 leakage only if sealed fluid is a gas with liquid or  
liquid-gas mixture leakage will be higher.

This invention enhances vaporization by  
restricting circulation of pumped liquid to heat it and

depressurize it. On the other hand, working with liquid only the seal of U.S. Patent No. 3,746,350 suppresses vaporization by minimizing restriction to pumped liquid flow and channeling this flow through a cooling system and back to the seal.

The present invention uses a pressure drop optimized pumping device to vaporize the liquid while prior art uses pressure drop optimized pumping device to move a sealing subassembly in axial direction.

These and many other features and attendant advantages of the invention will become apparent as the invention becomes better understood by reference to the following detailed description when considered in conjunction with the accompanying drawings.

#### Brief Description of the Drawings

Figure 1 is a side view in section of a selected tandem seal assembly;

Figure 2 is a front view in elevation showing a sealing face detail;

Figure 3 is a pressure-temperature chart showing a section of a typical vapor pressure curve of a fluid; and

Figure 4 is a side view in section of another embodiment of the invention.

#### Detailed Description of the Invention

Referring now to Figure 1, a first embodiment of my invention comprises a shaft 10, rotatable within the cylindrical bore 12 of a housing 14. Bore 12 steps up concentrically within housing 14 to receive a non-rotatable pumping ring 16 and a seal retainer 18. A cover plate 20 is secured to the housing 14 locking both the pumping ring 16 and the seal retainer 18 in axial position relative to the

5 shaft 10. The housing 14 may be mounted on a support (not  
shown). A stationary sealing ring 22 is urged against a  
rotatable sealing ring 24 by a spring disc 26, pushed axially  
via a plurality of springs 28. An O-ring 30 is positioned  
10 between the stationary sealing ring 22 and the spring disc  
26. The rotatable sealing ring 24 is seated in a drive  
sleeve 32 and locked by means of a clamp sleeve 34. The  
drive sleeve 32 and the clamp sleeve 34 together form a  
rotating seal assembly prevented from rotation relative to  
15 shaft 10 by means of a key 38. For non-contact, hydrodynamic  
operation the rotatable sealing ring 24 is provided with  
plurality of partial helical grooves 40, shown in the sealing  
face shown on Figure 2 with geometry differing depending on  
shaft rotation, sealed pressure and other variables. The  
20 drive sleeve 32 is provided with an external thread 42 which  
when optimized for maximum pressure differential will usually  
have a triangular shape in axial section.

The non-rotatable pumping ring 16 is provided with an  
internal thread 44 which is of the opposite hand to that of  
the thread 42 and also usually triangular for maximum pres-  
25 sure. Depending on the direction of rotation of the shaft  
10, one of these threads will have a right-hand direction  
while the other will have a left-hand direction. The section  
of drive sleeve 32 with thread 42 is concentrically  
positioned within the threaded section of thread 44 of the  
30 non-rotatable pumping ring 16. Though both threads are  
separated by a small clearance, they are largely exaggerated  
for clarity on Figure 1. The clearance is minimized for  
maximum pressure differential. During operation, the threads  
42 and 44 propel liquid away from the sealing rings 22 and 24  
35 and towards the source of liquid pressure at bore 12 to  
remove liquid from around the seal and leave said sealing  
rings surrounded by gaseous fluid for low leakage operation.

Figure 2 illustrates the helical grooved end face of

5 the rotatable sealing ring 24 in Figure 1 showing the contour of grooves 40, each of which starts at the outer circumference of the ring 24 extending inward and ending at a diameter larger than that of the inner circumference. All the helical grooves 40 are identical in their contours.

10 Figure 3 is a graph of a section of the vapor pressure curve for a typical fluid with temperature bar on the horizontal axis and vapor pressure bar on the vertical axis.

The curve 46 connects all points on the graph where fluid can be in either gas or liquid state. The region above curve 46 designated with the word "LIQUID" shows the region of pressure-temperature combination, where fluid can only be in liquid state. The region below curve 46 identified by the word "GAS" shows the region where fluid can only be in gaseous state.

20 Points A and B in Figure 3 also appear on Figure 1 and correspond to the pressure drop and temperature rise on the pumping device between threads 42 and 44 of Figure 1 and illustrates the changes in the condition at respective axial ends of said threads from condition B of liquid state to condition A of gaseous state. It should be noted, that in order for liquid-gas state transition to take place, point B has to be sufficiently close to curvature 46 for the particular geometry of pumping threads and the rotational speed of the shaft, so that with given pressure drop and fluid heatup point A will remain in gaseous region of the chart and liquid will indeed vaporize.

35 Figure 4 illustrates another embodiment of the invention similar to the one shown in Figure 1 except for the pumping thread configuration. While the pumping device in Figure 1 is based on a vortex-generating action, pumping device in Figure 4 is based on viscosity effects and is utilized in sealing arrangements similar to those known as VISCOSEALS.

5           The sealing assembly of Figure 4 uses a combination of  
smooth outer surface 48 of drive sleeve 32 and of a shallow  
rectangular thread profile 50 of non-rotatable pumping ring  
16, even though other profile configurations exist and are  
effective. Also shown in Figure 4 is an optional inlet 54  
10 for a gas such as air at atmospheric pressure through a one-  
way valve 52. The purpose of this inlet is to prevent  
pressure on the seal from dropping below atmospheric pressure  
at conditions of start-up and before temperature reaches  
operating levels high enough to produce sufficient quantities  
15 of gas phase. Should seal fluid be such that mixing it with  
air is not permitted, the gas supplied at inlet 52 can be  
obtained from an external source.

20           It is to be realized that only preferred embodiments of  
the invention have been described and that numerous  
substitutions, modifications and alterations are permissible  
without departing from the spirit and scope of the invention  
as defined in the following claims.